



**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**

Gp 1362915  
#6 aw  
9-24-02

In re patent application of:

James R. Altendahl, et al.

Serial No.: 09/475,880

Filed: December 30, 1999

Examiner: VIG, NARESH  
Group Art Unit: 3629

) Attorney Docket No.: E-911

) Customer No.:



) 00919

) PATENT TRADEMARK OFFICE

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)

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) Date: September 12, 2002

)

Title: PLANNING ENGINE FOR A PARCEL SHIPPING SYSTEM

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**INFORMATION DISCLOSURE STATEMENT**

Assistant Commissioner for Patents  
Washington, D.C. 20231

Sir:

Applicant submits herewith patents, publications or other information of which Applicant is aware, which they believe may be material to the examination of this application and in respect of which there may be a duty to disclose in accordance with 37 CFR § 1.56.

This Information Disclosure Statement is not intended to constitute an admission that any patent, publication or other information referred to herein is "prior art" against this application.

In accordance with 37 CFR § 1.97(g), the filing of this Information Disclosure Statement shall not be construed to mean that a search has been made or that no other material information as defined in 37 CFR § 1.56(b) exists.

In accordance with 37 CFR § 1.97(h), the filing of this Information Disclosure Statement shall not be construed to be an admission that the information cited in the statement is, or is considered to be, material to patentability as defined in 37 CFR § 1.56(b).



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This Information Disclosure Statement is being filed in accordance with the following provisions, as identified below with marked boxes, available under 37 CFR § 1.97:

1. ☐ 37 CFR §1.97(b) - This Information Disclosure Statement is being filed according to one of the following: (i) within 3 months of the filing date of this application (other than a CPA); (ii) within 3 months of the date of entry of the national stage as set forth in § 1.491 in an international application; (iii) before the mailing of a first Office action on the merits; or (iv) before the mailing of a first Office action after the filing of a request for continued examination under § 1.114.

OR

2. ☒ 37 CFR §1.97(c) - This Information Disclosure Statement is being filed before the mailing date of any of a final action under § 1.113, a notice of allowance under § 1.311, or an action that otherwise closes prosecution in the application, and it is accompanied by the statement or fee as identified below:

2.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

2.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

OR

2.3 ☒ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

**\*\* Provisional Fee Authorization.** If Box 2.1 or Box 2.2 is marked above and a final action under § 1.113 has in fact been mailed before the filing of this Information Disclosure Statement, then the Commissioner is authorized to charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p) as if Box 2.3 had been marked.

OR

3. ☐ 37 CFR §1.97(d) - This Information Disclosure Statement is being filed on or before payment of the issue fee and it is accompanied by the statement and fee as identified below:

3.1.1 ☐ Specified Statement under 37 CFR § 1.97(e)(1) - see below.

OR

3.1.2 ☐ Specified Statement under 37 CFR § 1.97(e)(2) - see below.

AND

3.2 ☐ Please charge Deposit Account No. **16-1885** in the amount of \$180.00 to cover the fee set forth in § 1.17(p).

Specified Statement under 37 CFR § 1.97(e)(1) - Each item of information contained in the information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of the information disclosure statement.

Specified Statement under 37 CFR § 1.97(e)(2) - No item of information contained in

the information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application, and, to the knowledge of the person signing below, after making reasonable inquiry, no item of information contained in the information disclosure statement was known to any individual designated in § 1.56(c) more than three months prior to the filing of the information disclosure statement.

A list of the patent(s) and/or publication(s) is set forth on the attached Form PTO-1449 (Modified).

A copy of each of the items on PTO-1449 (Modified) is supplied herewith.

The Commissioner is hereby authorized to charge any additional fees which may be required to Deposit Account No. **16-1885**.

A duplicate copy of this transmittal is enclosed for use in charging the Deposit Account.

Respectfully submitted,



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Plus attachments: Form PTO-1449 (Modified)  
One copy of each of the references



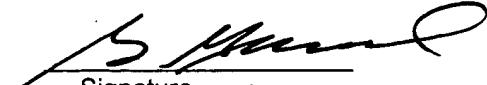
## CERTIFICATE OF MAILING

I hereby certify that this correspondence is being deposited with the United States Postal Service as first class mail in an envelope addressed to:

Assistant Commissioner for Patents  
Washington, D.C. 20231

On September 12, 2002  
Date of Deposit

George M. Macdonald  
Name of Registered Rep.

  
Signature

September 12, 2002  
Date

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FORM PTO-1449 (Modified)

Atty. Docket Number  
E-911Serial No.  
09/475,880**INFORMATION DISCLOSURE STATEMENT**Applicant  
James R. Altendahl, et al.**BY APPLICANT**Filing Date  
December 30, 1999Group  
3629**U.S. PATENT DOCUMENTS**

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUBCLASS	FILING DATE IF APPROPRIATE
	AA	5,117,364	26 May 1992	Barns-Slavin, I., et al.	364	464.03	2 Mar 1990
	AB	5,124,926	23 Jun 1992	Barns-Slavin, I., et al.	364	464.03	2 Mar 1990
	AC	5,222,018	22 Jun 1993	Sharpe, M., et al.	364	406	11 Oct 1988
	AD	5,481,464	2 Jan 1996	Ramsden, G.	364	464.03	18 Feb 1994
	AE	5,729,459	17 Mar 1998	Brandien, C., et al.	364	464.12	22 May 1992
	AF	5,869,819	9 Feb 1999	Knowles, C., et al.	235	375	7 Apr 1997
	AG	5,923,017	13 Jul 1999	Bjorner, J., et al.	235	385	23 Jan 1997
	AH	5,969,819	19 Oct 1999	Wang, Y.	356	371	3 Jun 1998
	AI	5,995,950	30 Nov 1999	Barns-Slavin, I., et al.	705	402	23 Aug 1993
	AJ	6,018,725	25 Jan 2000	Boucher, G., et al.	705	401	30 Sep 1997
	AK	6,036,348	14 Mar 2000	Miura, O.	364	478.13	30 May 1995
	AL	6,041,318	21 Mar 2000	Danford-Klein, H., et al.	705	400	4 Aug 1997
	AM	6,078,889	20 Jun 2000	Boucher, G., et al.	705	1	30 Sep 1997
	AN	6,230,872B1	15 May 2001	Huang, P., et al.	198	368	25 Feb 1999
	AO	6,233,568B1	15 May 2001	Kara, S.	705	410	29 Jun 1998
	AP	6,286,009B1	4 Sep 2001	Mattioli, J. Jr., et al.	707	102	11 Dec 1998
	AQ	6,304,857B1	16 Oct 2001	Heindel, D., et al.	705	34	8 Jun 1998
	AR	6,321,992B1	27 Nov 2001	Knowles, C., et al.	235	478.01	29 Jan 1999

**FOREIGN PATENT OR PUBLISHED PATENT APPLICATION DOCUMENTS**

		DOCUMENT NUMBER	DATE	COUNTRY OR PATENT OFFICE	CLASS	SUBCLASS	TRANSLATION
	AS						
	AT						
	AU						
	AV						
	AW						

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<b>OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)</b>		
	AX	
	AY	
	AZ	
EXAMINER		DATE CONSIDERED
<p>EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609;          Draw line through citation if not in conformance <u>and</u> not considered. Include copy of this form with next communication to applicant.</p>		